

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

DEMODULATION AND MODULATION CIRCUIT AND  
DEMODULATION AND MODULATION METHOD

BACKGROUND OF THE INVENTION

5      Field of the Invention

The present invention relates generally to a demodulation and modulation circuit and demodulation and modulation method. More particularly, the invention relates to a demodulation and modulation circuit and 10 demodulation and modulation method of a digital transmission signal to be used in a cellular phone terminal.

Description of the Related Art

Conventionally, sampling in an analog-to-digital (A/D) converter in a demodulation circuit causes phase shift 15 due to a frequency offset between transmission and reception and thus cannot be performed constantly at optimal sampling timing.

Therefore, at certain sampling timing, it is possible to significantly degrade reception characteristics for 20 occurrence of error in symbol judgment due to sampling of the reception signal close to zero crossing (boundary point in transition where symbol is changed from positive to negative or negative to positive).

The following equation shows adverse influence of the 25 frequency offset for demodulation. It is assumed that a modulated wave is expressed by:

$$s(t) = A(t) \cos [2\pi fct + \phi(t)]$$

Here,  $A(t)$  is assumed to be +1 or -1, and a carrier wave component  $\cos [2\pi fct]$  of the modulated wave set forth above is a reference signal  $\pi(t)$ , an orthogonal demodulator output I component is expressed by:

$$\begin{aligned} I(t) &= s(t) \times \pi(t) \\ &= A(t) \cos [2\pi fct + \phi(t)] \times \cos [2\pi fct] \\ 10 &= (A(t)/2) \times [\cos (4\pi fct + \phi(t)) + \cos \phi(t)] \end{aligned}$$

By cutting off  $\cos (4\pi fct + \phi(t))$  as the second term on right side by LPF, the I component can be expressed by:

$$15 \quad I(t) = (A(t)/2) \times \cos \phi(t)$$

to obtain phase information of I component of a PSK modulated wave.

Similarly, a carrier wave component  $-\sin [2\pi fct]$  which is obtained by shifting the phase for  $\pi/2$  ahead of the modulated wave is a reference signal  $pq(t)$ , an orthogonal demodulator output Q component is expressed by:

$$Q(t) = A(t) \cos [2\pi fct + \phi(t)] \times (-\sin [2\pi fct])$$

$$= A(t)/2 \times \cos \phi(t)$$

However, since the frequency offset  $\Delta \theta(t)$  is caused between transmission and reception in the practical circuit,  
5 respective reference signals can be expressed by:

$$p_i(t) = \cos [2\pi f_{ct} + \Delta \theta(t)]$$

$$p_q(t) = -\sin [2\pi f_{ct} + \Delta \theta(t)]$$

10 The orthogonal demodulation output is expressed by multiplying the foregoing reference signal and the modulated wave and cutting off a high frequency component by the LPF:

$$I(t) = A(t) \cos [2\pi f_{ct} + \phi(t)] \times \cos [2\pi f_{ct} + \Delta \theta  
15 (t)]$$

$$= (A(t)/2) \cos (\phi(t) - \Delta \theta(t))$$

$$Q(t) = A(t) \cos [2\pi f_{ct} + \phi(t)]$$

$$\times -\sin [2\pi f_{ct} + \Delta \theta(t)]$$

$$20 = (A(t)/2) \times \cos (\phi(t) - \Delta \theta(t))$$

Thus, adverse influence of the frequency offset appears on the orthogonal demodulation output. By this, the phase of an input signal of the A/D converter is shifted to cause offset

from a desired sampling timing.

Examples of the prior art of this kind have been disclosed in (1) Japanese Unexamined Patent Publication No. Heisei 8-223132, (2) Japanese Unexamined Patent Publication 5 No. Heisei 10-260653 and (3) Japanese Patent No. 2570126 (hereinafter referred to as prior art 1 to 3).

The prior art 1 is designed to insert a pilot signal to the transmission signal, derive a frequency offset  $\Delta k$  and a synchronization offset  $\delta$  of the sampling timing on the 10 basis of the transmission frequency  $k$  of the pilot signal and the reception frequency  $k'$ , and control the sampling period and a transmission frequency of a frequency converter so as to reduce the foregoing offsets to zero.

The prior art 2 is designed for controlling a delay 15 amount of the sampling clock and for controlling the phase of the sampling clock of the input video signal S1 to the phase adapted for the input video signal S1.

The prior art 3 is designed for extracting a clock signal component from a demodulation base band signal and 20 outputting a signal synchronized with the clock signal component as a sampling clock.

As set forth above, in the foregoing prior arts 1 to 3, the problem that the phase of the input signal of the A/D converter is shifted to cause offset from the desired 25 sampling timing is solved by controlling the sampling frequency.

However, when the sampling frequency in the A/D converter is increased in order to reduce error in symbol judgment, increasing of power consumption is caused in proportion to increasing of the frequency.

- 5 Increasing of power consumption causes significant problem in the equipment desired to be compact and to be used for a long period, such as a communication terminal, e.g. current cellular phone terminal.

#### SUMMARY OF THE INVENTION

- 10 Therefore, it is an object of the present invention to provide a demodulation circuit and a demodulation method which can optimize sampling timing with achieving reduction of power consumption.

- According to the first aspect of the present invention,  
15 a demodulation circuit for demodulating a digital transmission signal, wherein

a preliminarily known signal is inserted in the digital transmission signal upon transmission,

the demodulation circuit comprises:

- 20 A/D converting means for performing A/D conversion of a base band signal obtained by demodulation of the digital transmission signal; and

- phase shifting means for causing phase shift of one of the digital transmission signal and the base band signal  
25 on the basis of the known signal after digital conversion by the A/D converting means and the known signal upon transmission.

According to the second aspect of the present invention, a modulation circuit for modulating a digital signal comprises:

known signal inserting means for inserting a  
5 preliminarily known signal to the digital signal; and  
modulating means for modulating the digital signal  
after insertion of the known signal.

According to the third aspect of the present invention, a demodulation method for demodulating a digital  
10 transmission signal, wherein

a preliminarily known signal is inserted in the digital transmission signal upon transmission,

the demodulation method comprising:

first step of performing A/D conversion of a base band  
15 signal obtained by demodulation of the digital transmission signal; and

second step causing phase shift of one of the digital transmission signal and the base band signal on the basis of the known signal after digital conversion in the first  
20 step and the known signal upon transmission.

According to the fourth aspect of the present invention, a modulation circuit for modulating a digital signal comprising:

fifth step of inserting a preliminarily known signal  
25 to the digital signal; and

sixth step of modulating the digital signal after insertion of the known signal.

#### BREIF DESCRIPTION OF THE DRAWINGS

The present invention will be understood more fully from the detailed description given hereinafter with reference to the accompanying drawings of the preferred embodiment of the present invention, which, however, should not be taken to be limitative to the present invention, but are for explanation and understanding only.

In the drawings:

Fig. 1 is a block diagram showing a construction of the first embodiment of a demodulation circuit according to the present invention;

Fig. 2 is a block diagram showing a construction of the second embodiment of a demodulation circuit according to the present invention;

Fig. 3 is an explanatory illustration showing an insertion method of a known signal to be inserted in a transmission data;

Fig. 4 is an explanatory illustration showing an insertion method of a known signal to be inserted in a transmission data;

Fig. 5 is a flowchart showing a comparison method in a comparator 15;

Fig. 6 is an illustration showing a relationship between a phase amount to be output from a phase shift control portion 14 and a correlation amount;

Fig. 7 is a block diagram showing a construction of the third embodiment of a demodulation circuit according to

the present invention;

Fig. 8 is a flowchart showing operation of the first embodiment of the demodulation circuit;

Fig. 9 is a flowchart showing operation of the second 5 embodiment of the demodulation circuit;

Fig. 10 is a flowchart showing operation of the second embodiment of the demodulation circuit;

Fig. 11 is a flowchart showing operation of the third embodiment of the demodulation circuit;

10 Fig. 12 is a schematic block diagram showing the fourth embodiment of a modulation circuit;

Fig. 13 is a schematic block diagram showing the fifth embodiment of the modulation circuit;

15 Fig. 14 is a flowchart showing operation of the fourth embodiment of the demodulation circuit; and

Fig. 15 is a flowchart showing operation of the fifth embodiment of the demodulation circuit.

#### DESCRIPTION OF THE PREFERRED EMBODIMENT

The present invention will be discussed hereinafter 20 in detail in terms of the preferred embodiment of the present invention with reference to the accompanying drawings. In the following description, numerous specific details are set forth in order to provide a thorough understanding of the present invention. It will be obvious, however, to those skilled in the art that the present invention may be practiced 25 without these specific details. In other instance, well-known structure are not shown in detail in order to avoid

unnecessary obscurity of the present invention.

It should be noted that a digital transmission signal in the present invention is a signal which is orthogonally modulated.

5 At first, the first embodiment of a demodulation circuit according to the present invention will be discussed. Fig. 1 is a block diagram of the first embodiment of the demodulation circuit 51.

Referring to Fig. 1, the demodulation circuit 51  
10 includes an antenna 21, a high frequency receiving portion 1 receiving a signal from the antenna 21, an orthogonal demodulator (ODEM) 2, phase shifters 3 and 4 for phase shifting a signal from the orthogonal demodulator 2, low pass filters (LPF) 5 and 6 for passing lower band of the signals  
15 from the phase shifters 3 and 4, A/D converters 7 and 8 performing A/D conversion of signals from the low pass filters 5 and 6, digital filters 9 and 10 passing predetermined frequencies of the signals from the A/D converters 7 and 8, a symbol judgment portion 11 performing  
20 symbol judgment of the signals from the digital filters 9 and 10, parallel/serial (P/S) converter 12 for converting a parallel signal from the symbol judgment portion 11 to a serial signal, a reception data processing portion 13 for performing reception data processing of the signal from the  
25 parallel/serial (P/S) converter 12, a phase shift control portion 14 for performing phase shift control of the signal from the reception data processing portion 13, a storage

portion 16 preliminarily storing a known signal upon transmission, and a comparing portion 15 extracting a known signal from the parallel signal from the symbol judgment portion 11 and comparing the extracted known signal with the  
5 known signal upon transmission stored in the storage portion 16.

While detail will be discussed later, the known signal is inserted in a transmission data in the present invention. A result of comparison of a result of symbol judgment of the  
10 known signal by the symbol judgment portion 11 and the known signal for transmission is input to the phase signal control portion 14 for shifting phases of the signals to be input to the A/D converters 7 and 8 on the basis of result of comparison.

15 Next, operation of the demodulation circuit 51 constructed as set forth above, will be discussed with reference to Fig. 8. A received wave is input to the orthogonal demodulator 2 via the antenna 21 and the high frequency receiving portion 1. A base band signal of the  
20 received wave is extracted in the orthogonal demodulator 2 (S1). Next, I component and Q component as output of the orthogonal demodulator 2 respectively pass phase shifters 3 and 4 and unnecessary components are removed from the I component and the Q component by the low pass filters 5 and  
25 6. The I component and Q component removed unnecessary components are subject to A/D conversion by the A/D converters 7 and 8. A/D converted outputs are wave-shaped

by the digital filters 9 and 10 in order to avoid intersymbol interference, and then input to the symbol judgment portion 11 (S2). A symbol judgment output is converted into a serial data by the P/S (parallel/serial) converter 12. Then, a 5 desired demodulated output signal is taken out from the received data processing portion 13.

On the other hand, the comparator 15 takes out the known signal from the output of the symbol judgment portion 11. The known signal compares the known signal stored in the 10 storage portion 16 (S3). The phase shift control portion 14 causes the phase shifters 3 and 4 phase shifting on the basis of the result of comparison by the comparing portion 15 (S4).

Next, insertion method the known signal inserted in the transmission data will be discussed. Figs. 3 and 4 are 15 diagrammatic explanatory illustration showing the insertion method of the known signal inserted in the transmission data. There are two kinds of methods for inserting the known signal in the transmission data. Fig. 3 shows the first method and Fig. 4 shows the second method.

At first, first known signal insertion method will be 20 discussed. Referring to Fig. 3, the first known signal insertion method multiplexes a known data symbol 31 and an information data symbol 32 in time. A time multiplexed digital transmission signal is input to the orthogonal 25 demodulator 2.

Next, discussion will be given for the second known signal insertion method. Referring to Fig. 4, the

information data symbol 32 is assigned to the I channel, and the known data symbol 31 is assigned to the Q channel. These I channel and Q channel are multiplexed. It is also possible to assign the information data symbol 32 to the Q channel 5 and the known data symbol 31 to the I channel.

Next, comparing method in the comparing portion 15 will be discussed. Fig. 5 is a flowchart showing a comparison method in the comparing portion 15. Referring to Fig. 5, the comparison result is output from the comparator 15. Then, 10 the phase shift control portion 14 outputs phase shifting amount to the phase shifters 3 and 4 on the basis of results of comparison (S11). The phase shifters 3 and 4 cause phase shift of the base band signal in the extent corresponding to the phase shifting amount. After phase shifting, the base 15 band signal is input to the A/D converters 7 and 8 via the low pass filters 5 and 6 for A/D conversion (S12).

The signals after A/D conversion are input to the symbol judgment portion 11 via the digital filters 9 and 10 for symbol judgment. The comparing portion 15 takes out the known 20 signal from the symbol judgment portion 11 to compare with the taken out known signal with a known signal upon transmission stored in the storage portion 16 (S13). The result of comparison is stored in a not shown storage portion in the phase shift control portion 14.

25 The comparing portion 15 is checked whether comparison is repeated for N (N is integer greater than or equal to two) times (S14). If number of times of comparison is less than

N times, the processes at steps S11 to S13 are repeated. Once, number of times of comparison reaches N times, an optimal phase shifting amount is detected on the basis of the N in number of results of comparison (S15). The optimal phase 5 shifting amount is also stored in the not shown storage portion in the phase shift control portion 14.

Next, check is performed whether detection of the optimal phase shifting amount is performed for M (M is positive integer) times (S16). If M times is not reached, 10 the process steps S11 to S15 are repeated. Once M times is reached, an average of M in number of the optimal phase shifting amounts is detected (S17). Then, the phase shift control portion 14 controls the phase shifters 3 and 4 with the average of the M in number of optimal phase shifting amount 15 (S18).

Next, discussion will be given what is "optimal phase shifting amount". The comparing portion 15 derives a correlated value of a known data string upon transmission and a known data string upon reception as the result of 20 comparison.

Now, as one example of the known data string upon transmission is assumed as "+1+1-1+1-1-1+1+1" (8 bit data string). When this is received on the reception side, if the result of symbol judgment in the symbol judgment portion 11 25 is "+1+1-1+1-1-1+1+1" the same as the known data string upon transmission, the comparing portion 15 obtains "1" as the correlated value normalized by number of data.

On the other hand, if the result of judgment by the symbol judgment portion 11 is "-1+1-1+1-1-1+1-1" (error in two bits), the correlated value of  $4/8 = 0.5$  can be obtained. Namely, the correlated value for the most recent phase 5 shifting amount is 0.5. Namely, the correlated value closer to 1 represents lesser error.

Next, discussion will be given why the correlated value becomes 0.5 at two bit error. If data upon transmission is "+1" and data upon reception is also "+1", product of these 10 also becomes "+1". Similarly, if data upon transmission is "-1" and data upon reception is also "-1", product of these also becomes "+1". Namely, when the product is "+1", judgment can be made that bit error is not caused.

On the other hand, if data upon transmission is "+1" 15 and data upon reception is also "-1", product of these also becomes "-1". Similarly, if data upon transmission is "-1" and data upon reception is also "+1", product of these also becomes "-1". Namely, when the product is "-1", judgment can be made that bit error is caused.

Accordingly, when bit error is caused at two bits, two 20 products of "-1" appear. In this since correct bits are six bits (+6), error bits are two (-2) and overall bit number is eight bits,  $6 - 2 = 4$  is established. 4 is a numerator of the foregoing correlation value, and 8 becomes denominator 25 of the foregoing correlated value. Similar discussion will be applicable for bit errors other than two bits.

A relationship between the phase amount (phase

difference of the sampling timing) output from the phase shift control portion 14 and the correlated value is shown Fig. 6. Fig. 6 shows the case where the correlated value for the phase difference  $\theta_1$  is  $r_1$ , ... the correlated value for 5 the phase difference  $\theta_N$  is  $r_N$ .

At step S15, the maximum value of the correlated values  $r_1$  to  $r_N$  can be derived. The phase difference with respect to the maximum amount is taken as the optimal phase shifting amount.

10 Next, the second embodiment of the demodulation circuit will be discussed. Fig. 2 is a block diagram showing the construction of the second embodiment of the demodulation circuit. The second embodiment is directed to the demodulation circuit 52. It should be noted that like 15 components to those in the first embodiment will be identified by like reference numerals to omit discussion therefor in order to avoid redundant discussion to keep the disclosure simple enough to facilitate clear understanding of the present invention.

20 Referring to Fig. 2, a point where the second embodiment is differentiated from the first embodiment is that the comparing portion 17 is connected to an output side of the P/S converter 12.

25 Figs. 9 and 10 are flowcharts showing operation of the second embodiment of the demodulation circuit. Process steps common to the flowchart of the first embodiment will

be identified by like step numbers to omit discussion therefor in order to avoid redundant discussion to keep the disclosure simple enough to facilitate clear understanding of the present invention. Next, operation of the 5 demodulation circuit 52 will be discussed with reference to Figs. 9 and 10.

The A/D conversion output is transmitted to the symbol judgment portion 11 to be judged the symbol. Thereafter, the result of judgment is transmitted to the P/S converter 12 10 to be converted into the serial data from the parallel data (S5). Next, the comparing portion 17 takes out the known data from data converted into the serial data for comparing with the known data upon transmission stored in the storage portion 16 (S3).

15 On the other hand, data converted into serial data in parallel to step S3 is transmitted to the reception data processing portion 13. Then, the known signal is removed (S6) for outputting only information data. Other operation is similar to those of the first embodiment.

20 It should be noted that while the comparing portion 17 and the storage portion 16 are provided separately from the reception data processing portion 13, these components may be integrated as a reception data processing portion 18.

Next, discussion will be given for the third embodiment. 25 Fig. 7 is a block diagram showing the third embodiment of the demodulation circuit according to the present invention. The third embodiment is directed to the demodulation circuit

53. It should be noted that like components to those in the first and second embodiments will be identified by like reference numerals to omit discussion therefor in order to avoid redundant discussion to keep the disclosure simple enough to facilitate clear understanding of the present invention.

Referring to Fig. 7, the point of the third embodiment different from the first and second embodiments is that a phase shifter 35 is connected between the high frequency receiving portion 1 and the orthogonal demodulator 2. With this, the phase shifter 35 becomes sufficient.

Fig. 11 is a flowchart showing the operation of the third embodiment. Next, operation of the third embodiment will be discussed with reference to Fig. 11. At first, 15 discussion will be given for operation with taking the first embodiment as base. Referring to Fig. 11 together with Fig. 8, the known signals are compared by the comparing portion 15 (S3). Thereafter, the phase shift control portion 14 outputs a phase shifting amount to the phase shifter 35 on 20 the basis of the result of comparison to cause the phase shifter 35 to cause phase shifting of the digital transmission signal as output of the high frequency receiving portion 1 (S7).

Next, discussion will be given with taking the 25 operation of the second embodiment as base. Referring to Fig. 11 together with Fig. 9, the known signals are compared by the comparing portion 15 (S3). Thereafter, the phase shift

control portion 14 outputs a phase shifting amount to the phase shifter 35 on the basis of the result of comparison to cause the phase shifter 35 to cause phase shifting of the digital transmission signal as output of the high frequency receiving portion 1 (S7).

Next, discussion will be given for the fourth embodiment. Fig. 12 is a block diagram of a modulation circuit according to the present invention. The fourth embodiment is directed to the modulation circuit 61.

Referring to Fig. 12, the modulation circuit 61 includes a time multiplexing portion 62, a serial/parallel (S/P) converter 63 and an orthogonal modulating portion 64 (64a and 64b).

Fig. 14 is a flowchart showing operation of the fourth embodiment of the present invention. Operation of the modulation circuit 61 will be discussed with reference to Fig. 14. At first, an information data 30 is divided into a plurality of information data 32 by the time multiplexing portion 62 and the information data 32 is time multiplexed with the known data 31 (S21). Namely, information data 32 and the known data 31 are alternately output in time series. Next, the time multiplexed data is converted into the parallel data by the S/P converter 63. Thereafter, respective data are orthogonally modulated by the orthogonal modulating portions 64a and 64b (S22). Then, the digital transmission data orthogonally modulated is received by the demodulators 51 to 53 on reception side.

Next, discussion will be given for the fifth embodiment of the modulation circuit. Fig. 13 is a block diagram of the fifth embodiment. The fifth embodiment is directed to a modulation circuit 65. It should be noted that like components to those in the fourth embodiment (Fig. 12) will be identified by like reference numerals to omit discussion therefor in order to avoid redundant discussion to keep the disclosure simple enough to facilitate clear understanding of the present invention.

10 Referring to Fig. 13, the modulation circuit 65 is constructed with including the orthogonal modulating portion 64a and 64b.

15 Fig. 15 is a flowchart showing the operation of the fifth embodiment of the modulation circuit. Operation of the modulation circuit 65 will be discussed with reference to Fig. 15. At first, the information data 32 is assigned to the I channel and the known data 31 is assigned to the Q channel (S31). Next, in the orthogonal modulating portions 64a and 64b, the information data 32 and the known data 31 are 20 orthogonally modulated (S32). Then, the orthogonally modulated digital transmission data is received by the demodulators 51 to 53 on reception side.

With the invention set forth above, in the demodulation circuit for demodulating the digital signal, the 25 preliminarily known signal is inserted to the digital transmission signal upon transmission, and the demodulation circuit includes the A/D converting means for performing A/D

conversion of the base band signal obtained by demodulation of the digital transmission signal, and the phase shifting means for causing phase shift of the digital transmission signal or the base band signal on the basis of the known signal  
5 after digital conversion by the A/D converting means and the known signal for transmission so as to enable optimization of sampling timing with achieving reduction of power consumption.

Also, with the present invention, the modulation  
10 circuit for modulating the digital signal includes the known signal inserting means for inserting the preliminarily known signal to the digital signal and the modulating means for modulating the digital signal after insertion of the known signal. Thus the effect set forth above can be achieved.

15 Furthermore, with the present invention, in the demodulation method for demodulating the digital signal, the preliminarily known signal is inserted to the digital transmission signal upon transmission, and the demodulation circuit includes a step of performing A/D conversion of the  
20 base band signal obtained by demodulation of the digital transmission signal, and a step of causing phase shift of the digital transmission signal or the base band signal on the basis of the known signal after digital conversion in the A/D conversion step and the known signal for transmission  
25 so as to enable optimization of sampling timing with achieving reduction of power consumption.

Also, with the present invention, the modulation

method for modulating the digital signal includes the a step  
inserting the preliminarily known signal to the digital  
signal and a step of modulating the digital signal after  
insertion of the known signal. Thus the effect set forth  
5 above can be achieved.

Namely, according to the present invention, since the  
sampling timing can be optimized with maintaining the  
sampling frequency low by shifting the phase of the input  
signal of the A/D converter, the power consumption which can  
10 be increased according to increasing of the sampling  
frequency, can be lowered.

Furthermore, error in symbol judgment can be reduced  
to achieve good reception characteristics. This is achieved  
by capability of maintaining the sampling timing of the A/D  
15 converter optimal by the phase shift control portion and the  
phase shifter.

Although the present invention has been illustrated  
and described with respect to exemplary embodiments thereof,  
it should be understood by those skilled in the art that the  
20 foregoing and various changes, emission and additions may  
be made therein and thereto, without departing from the  
spirit and scope of the present invention. Therefore, the  
present invention should not be understood as limited to the  
specific embodiment set out above but to include all possible  
25 embodiments which can be embodied within a scope encompassed  
and equivalent thereof with respect to the feature set out  
in the appended claims.